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TRANSMITTAL	Filing Date	12/18/2001
FORM	First Named Inventor	Jonathan E. Schmidt
φ	Art Unit	
(to be used for all correspondence after initial filing)	Examiner Name	Patel, Ashokkumar B.
Total Number of Pages in This Submission 6	Attorney Docket Number	
ENCLOSURES (Check all that apply)		
Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Re	crawing(e) Idensing-related Papers edition edition to Convert to a rovisional Application ower of Attorney, Revocation hange of Correspondence Ad erminal Disclaimer equest for Refund D, Number of CD(s) Landscape Table on CD	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name		
Signature To A Contract		
Printed name Raffi Gostanian		
Date 04/09/2007	Re	I. No. 42,695
CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460 on the date shown below:		
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patont and Trademark Office. U.S. Department of Commorce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Jonathan E. Schmidt, et al.

Serial No.:

10/023,674

Filed:

12/18/2001

Title:

INTERNET PROVIDER SUBSCRIBER COMMUNICATIONS SYSTEM

Art Unit:

2154

Examiner:

Patel, Ashokkumar B.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF FAXING

I hereby certify that this correspondence is being faxed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA at fax number 571-273-8300 on the date shown below.

Date of Deposit: April 4, 2007

Signature:

Name: Raffi Gostanian, Jr.

STATEMENT OF THE SUBSTANCE OF AN INTERVIEW

This Statement provides the substance of a telephonic interview that occurred on February 27, 2007 between Examiner Ashokkumar B. Patel, Inventor Jonathan E. Schmidt, and Registered Patent Agent Raffi Gostanian regarding the above identified patent application. This Statement is in response to the Interview Summary (Confirmation No. 3270) mailed by the Examiner on March 7, 2007.

Statement to the Substance of the Interview begins on page 2 of this paper.

Remarks begin on page 5 of this paper.

Statement to the Substance of the Interview:

According to MPEP Section 713.04, a complete and proper recordation of the substance of any interview should include at least the following applicable items (if applicable, a response will follow the item):

(A) a brief description of the nature of any exhibit shown or any demonstration conducted;

No exhibits were shown and no demonstrations were conducted.

(B) identification of the claims discussed:

Independent claim 1 was discussed.

(C) identification of specific prior art discussed;

Simmons (US 5,974,451) and Brothers (US 6,438,125)

(D) identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary form completed by the examiner;

The principal proposed amendment centered around, if a message for the subscriber is not desired when no policy is in force for the subscriber, allowing, by the redirecting device, a direct connection from the subscriber to the destination site to proceed normally, without a proxy server or other device requiring access to downstream traffic.

(E) the general thrust of the principal arguments of the applicant and the examiner should also be identified, even where the interview is initiated by the examiner. The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments

is sufficient if the general nature or thrust of the principal arguments can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner;

Currently amended claim 1 discloses, among other elements, if a message for the subscriber is not desired when no policy is in force for the subscriber, allowing, by the redirecting device, a direct connection from the subscriber to the destination site to proceed normally, without a proxy server or other device requiring access to downstream traffic.

Applicants believe that the cited prior art, either alone or in combination, do not disclose or suggest such a limitation.

(F) a general indication of any other pertinent matters discussed;

Inventor Jonathan Schmidt described how the present invention was unique by not needing to use a proxy server, and by accessing, by a redirecting device, only subscriber upstream traffic to a destination site requested by the subscriber, where the redirecting device inter-connects to Ethernet ports of a cable access concentrator, and dentifying, by the redirecting device, the subscriber to provide a unique subscriber identification based on the accessed subscriber upstream traffic automatically provided by the subscriber during communications via the cable access concentrator.

(G) if appropriate, the general results or outcome of the interview; and

Examiner Patel appeared to agree that the limitations described above and presented in currently amended claim 1 would be beneficial and he indicated that he would consider the changes and update his search.

(H) in the case of an interview via electronic mail, a paper copy of the Internet e-mail contents MUST be made and placed in the patent application file as required by the Federal Records Act in the same manner as an Examiner Interview Summary Form, PTOL 413, is entered.

N/A